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PTO/SB/21 (08-00) Please type a plus sign (+) inside this box→ Approved for use through 10/31/2002. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. 10/035.750 **Application Number** 5005 NOV 25 October 23, 2001 Filing Date TRANSMITTAL Patterson, et al. **FORM** First Named Inventor 2633 (to be used for all correspondence after initial filing) **Group Art Unit Examiner Name** 711901-1020 76 Total Number of Pages in this Submission Attorney Docket Number ENCLOSURES (check all that apply) Technology Center 2600 Fee Transmittal Form Assignment Papers After Allowance Communication (for an Application) to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a Status Letter Provisional Application Other Enclosure(s) (please identify below): **Extention of Time Request** Power of Attorney, Revocation **Express Abandonment Request** Change of Correspondence Return Receipt postcard Address Information Disclosure Statement PTO Form 1449 Terminal Disclaimer Copies of 3 cited U.S. Patents and 2 Certified Copy of Priority Request for Refund Documents cited European Patent Applications (with English-language Translations) Response to Missing Parts/ CD, Number of CD(s)_ Incomplete Application **REMARKS** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David L. Berdan, Registration No. 41,614 Individual Name Signature Date **CERTIFICATE OF MAILING**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Patterson, et al.

Serial No.: 10/035,750

Filed: October 23, 2001



Confirmation No.: 5036 Group Art Unit: 2633

Examiner:

Docket No.: 711901-1020

For: DATA COMMUNICATIONS SYSTEM AND METHOD FOR COMMUNICATION BETWEEN INFRARED DEVICES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

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This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

٥	⅓	under 37 CFR 1.97(b), or (within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)	
]	under 37 CFR 1.97(c) together with either a: Statement Under 37 C.F.R. 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first) Technology Center 2	2
		under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(p). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)	1000
p b C	atents, e mate CFR 1.	icant(s) submit herewith Form PTO 1449A - Information Disclosure Statement by Applicant to the statement of which applicant(s) are aware, which applicant(s) believe(s) may or atterial to the examination of this application and for which there may be a duty to disclose in accordance 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document and written English-Lation of any non-English-language documents is provided.	may not ['] with 37
0 3 is 1	other fo 37 CFR s cited anguag	ncise explanation of the relevance of foreign language patents, foreign language publications and foreign language information listed on PTO Form 1449, as presently understood by the individual(s) design FR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language in a search report or other action by a foreign patent office in a counterpart foreign application, an large version of the search report or action which indicates the degree of relevance found by the foreign office the form PTO 1449 and is enclosed herewith.	ge patent English

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.

David L. Berdan

Registration No. 41,614

100 Galleria Parkway, Suite 1750 Atlanta, Georgia 30339-5948 256-704-3900

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INFORMATION DISCLOSURE CITATION

Attorney Docket No. Serial No. 711901-1020 10/035,750

Applicant

Patterson, Wade C., et al.

Filing Date

(Use several sheets if necessary) Group October 23, 2001 U.S. PATENT DOCUMENTS Examiner Item Document Date Name Class Subclass Filing Date Initials Number If Appropriate 4,965,448 10/23/90 250 252.1 Α Morse, et al. Diaz, et al. 251 В 5,570,869 11/05/96 129.04 C 5,819,336 10/13/98 Gilliam, et al. 623 D E F G Н Technology Center 26do I J K FOREIGN PATENT DOCUMENTS Document Date Country Class Subclass Translation Number Yes No L EP 0 332 045 A2 9/13/89 **European Patent Application** 17/88 7/99 X EP 1 164 450 A1 12/19/01 **European Patent Application** 23/02 X M N 0 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) P Q R * EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. **EXAMINER'S SIGNATURE:** DATE CONSIDERED: